



TJW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Elaine Lim et al. Confirmation No.: 4203
Serial No.: 10/792,076 Examiner: Unknown
Filing Date: March 2, 2004 Group Art Unit: 3763
Docket No.: 1001.1766101 Customer No.: 28075
For: OCCLUSION BALLOON CATHETER WITH LONGITUDINALLY
EXPANDABLE BALLOON

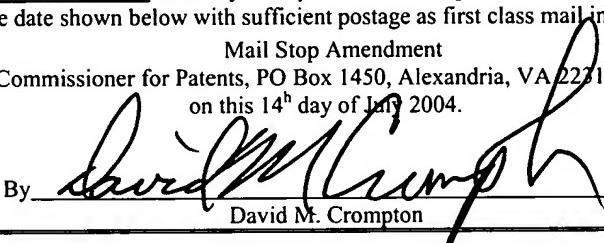
TRANSMITTAL SHEET

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 14th day of July 2004.

By 
David M. Crompton

We are transmitting herewith the attached:

- Amendment
 No additional claim fee required
 The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

A check in the amount of \$_____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

Fee Code _____ \$

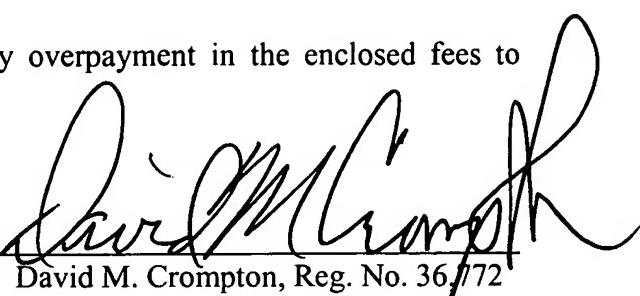
Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

Other: Information Disclosure Statement, Form PTO-1449 and 2 cited non- U.S. patent/publication references.

Return Receipt Postcard (MPEP 503).

Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:



David M. Crompton, Reg. No. 36,772

David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Elaine Lim et al. Confirmation No.: 4203
Serial No.: 10/792,076 Examiner: Unknown
Filing Date: March 2, 2004 Group Art Unit: 3763
Docket No.: 1001.1766101 Customer No.: 28075
For: OCCLUSION BALLOON CATHETER WITH LONGITUDINALLY
EXPANDABLE BALLOON

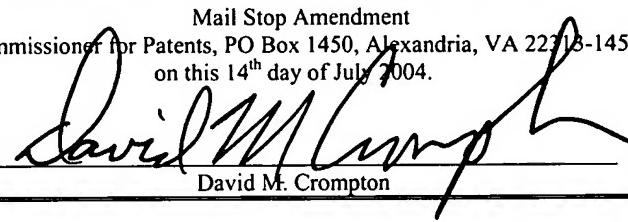
INFORMATION DISCLOSURE STATEMENT

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment

Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 14th day of July 2004.

By


David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

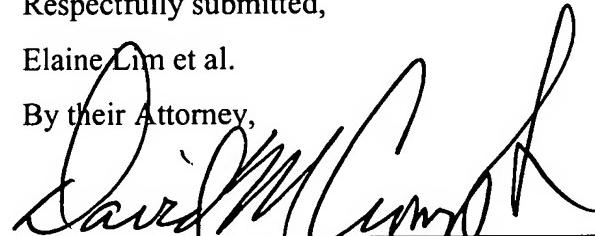
In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Elaine Lim et al.

By their Attorney,


David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

Date: 7/14/04

O I P E
JUL 19 2004
10/792,076

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

FORM PTO-1449

Atty. Docket No.:
1001.1766101

Serial No.:
10/792,076

Applicant: Elaine Lim et al.

Confirmation No.: 4203

Filing Date:
March 2, 2004

Group Art:
3763

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	2002/0128598	09/12/2002	Nobles	
AB	4,490,421	12/25/1984	Levy	
AC	4,573,966	03/04/1986	Weikl et al.	
AD	4,796,629	01/10/1989	Grayzel	
AE	4,863,440	09/05/1989	Chin	
AF	4,906,244	03/06/1990	Pinchuk et al.	
AG	5,695,468	12/09/1997	Lafontaine et al.	
AH	5,752,934	05/19/1998	Campbell et al.	
AI	5,797,948	08/25/1998	Dunham	
AJ	5,868,705	02/09/1999	Bagaoisan et al.	
AK	6,045,531	04/04/2000	Davis	
AL	6,110,142	08/29/2000	Pinchuck et al.	
AM	6,136,258	10/24/2000	Wang et al.	
AN	6,143,015	11/07/2000	Nobles	
AO	6,146,357	11/14/2000	Addis	
AP	6,248,121	06/19/2001	Nobles	
AQ	6,395,208	05/28/2002	Herweck et al.	
AR	6,425,908	07/30/2002	Ravencroft et al.	
AS	6,554,795	04/29/2003	Bagaoisan et al.	
AT	6,565,527	05/20/2003	Jonkman et al.	
AU	6,599,266	07/29/2003	Morse	

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation Yes No
AV		EP 0 427 429 A2	05/15/1991	Europe	
AW		EP 0 917 886 A1	05/26/1999	Europe	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.